

In Place of FORM PTO-1449 (Modified)

Serial No.: 09/935,995
Applicants: Richard E. Smalley et al
Filing Date: August 23, 2001
Group: 1773
Atty. Docket No.: 11321-P014US

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Translation Yes No |
|---------------------|--------------------|------------|--------|-------|----------|-----------------------|
| AAA | EP 0 949 199 A1 | 10/13/1999 | Europe | | | Yes |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner Initial | |
|---------------------|---|
| ADA | BAHR, <i>et al.</i> , "Functionalization of Carbon Nanotubes by Electrochemical Reduction of Aryl Diazonium Salts: A Bucky Paper Electrode," <i>J. Am. Chem. Soc.</i> , Volume 123, Number 27, 2001, pp. 6536-6542. |
| AEA | COLEMAN, <i>et al.</i> , "Percolation-dominated conductivity in a conjugated-polymer-carbon-nanotube composite," <i>Physical Review B</i> , Volume 58, Number 12, September 15, 1998, pp. R7492-R7495. |
| AFA | CURRAN, <i>et al.</i> , "A Composite from Poly(<i>m</i> -phenylenevinylene-co-2, 5-dioctoxy- <i>p</i> -phenylenevinylene) and Carbon Nanotubes: A Novel Material for Molecular Optoelectronics," <i>Adv. Mater.</i> , Volume 10, Number 14, 1998, pp. 1091-1093. |
| AGA | DALTON, <i>et al.</i> , "A functional conjugated polymer to process, purify and selectively interact with single wall carbon nanotubes," <i>Synthetic Metals</i> , 121 (2001), pp. 1217-1218. |
| AHA | GRIMES, <i>et al.</i> , "The 500 MHz to 5.50 GHz complex permittivity spectra of single-wall carbon nanotube-loaded polymer composites," <i>Chem. Phys. Lett.</i> , 319 (2000), pp. 460-464. |
| AIA | JUREWICZ, <i>et al.</i> , "Supercapacitors from nanotubes/polypyrrole composites," <i>Chem. Phys. Lett.</i> , 347 (2001), pp. 36-40. |
| AJA | McCARTHY, <i>et al.</i> , "Complex nano-assemblies of polymers and carbon nanotubes," <i>Nanotechnology</i> , Volume 12 (2001), pp. 187-190. |
| AKA | PANHUIS, <i>et al.</i> , "Optimal polymer characteristics for nanotube solubility," <i>Synthetic Metals</i> , Volume 121 (2001), pp. 1187-1188. |
| ALA | O'CONNELL, <i>et al.</i> , "Reversible water-solubilization of single-walled carbon nanotubes by polymer wrapping," <i>Chem. Phys. Lett.</i> , 342 (2001), pp. 265-271. |
| AMA | STAR, <i>et al.</i> , "Preparation and Properties of Polymer-Wrapped Single-Walled Carbon Nanotubes," <i>Angew. Chem. Int. Ed.</i> , Volume 40, Number 9 (2001), pp. 1721-1725. |
| ANA | RIGGS, <i>et al.</i> , "Strong Luminescence of Solubilized Carbon Nanotubes," <i>J. Am. Chem. Soc.</i> , 122 (2000), pp. 5879-5880. |
| AOA | DALTON, <i>et al.</i> , "Selective Interaction of a Semiconjugated Organic Polymer with Single-Wall Nanotubes," <i>J. Phys. Chem. B.</i> , 104 (2000), 10012-10016. |
| APA | JIN <i>et al.</i> , "Nonlinear optical properties of some polymer/multi-walled carbon nanotube composites," <i>Chem. Phys. Lett.</i> , 318 (2000), pp. 505-510. |
| AQA | McCARTHY, <i>et al.</i> , "Microscopy studies of nanotube-conjugated polymer interactions," <i>Synthetic Metals</i> , 121 (2001), pp. 1225-1226. |
| ARA | FAN, <i>et al.</i> , "Synthesis, Characterizations, and Physical Properties of Carbon Nanotubes Coated by Conducting Polypyrrole," <i>Journal of Applied Science</i> , Volume 74 (1999), 2605-2610. |
| ASA | TANG, <i>et al.</i> , "Preparation, Alignment, and Optical Properties of Soluble Poly(phenylacetylene)-Wrapped Carbon Nanotubes," <i>Macromolecules</i> , Volume 32 (1999), pp. 2569-2576. |
| ATA | CHEN, <i>et al.</i> , "Carbon Nanotube and Polypyrrole Composites: Coating and Doping," <i>Adv. Mater.</i> , Volume 12, Number 7 (2000), pp. 522-526. |

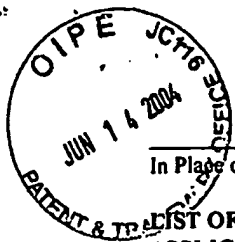
Examiner:

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Date Considered:

03/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|---------------------|--------------------|------------|----------------|-------|----------|-------------------------------|
| h AAA | 6,630,772 B1 | 10/07/2003 | Bower et al. | 313 | 311 | |
| h ABA | US 2003/0075682 A1 | 04/24/2003 | Colbert et al. | 250 | 306 | |
| ACA | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation Yes No |
|---------------------|--------------------|------------|---------|-------|----------|-----------------------|
| h ADA | 0 989 579 A2 | 03/29/2000 | Europe | | | |
| AEA | | | | | | |
| AFA | | | | | | |

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